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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Gimzewski et al.

Docket No.: CH920000071US1

Serial No.: 10/037,045

Group No.: 2879

Filed: November 9, 2001

Examiner:

Date: May 20, 2002

For: CRYSTALS COMPRISING SINGLE-WALLED CARBON NANOTUBES


To: Commissioner for Patents  
United States Patent & Trademark Office  
Washington, D.C. 20231

TRANSMITTAL LETTER

Sir:

Please find enclosed a listing (PTO Form 1449) of references which may be relevant to the above-referenced application. This listing of references is not a representation that a search has been made, that better references than listed do not exist, or that other references are not applicable. Moreover, this listing does not constitute any admission by applicants or applicants' representative that the information provided herein is necessarily prior art to applicants' invention. Please charge any fee necessary to enter this paper to deposit account 09-0468.

Respectfully submitted,

  
Marian Underweiser  
Reg. No. 46,134  
(914) 945-1255

IBM Corporation  
Intellectual Property Law Dept.  
P.O. Box 218  
Yorktown Heights, New York 10598



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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/037,045	11/09/2001	James Gimzewski	CH920000071US1

CONFIRMATION NO. 5544

FORMALITIES LETTER



\*OC000000007689950\*

Paul J. Otterstedt  
Route 134/Kitchawan Road  
P.O. Box 218  
Yorktown Heights, NY 10598

Date Mailed: 03/21/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*James Gimzewski*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

*Feder*

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/31/2002 ZJUHR1 00000033 090458 10037045

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**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

I hereby certify that the attached correspondence comprising:

1. Return Post Card;
2. Deposit Account Authorization Form;
3. Declaration and Power of Attorney Form;
4. Assignment and Recordation Form Cover Sheet;
5. Formal Drawings;
6. Change of Correspondence Address;
7. IDS, Form 1449 and references; and
8. Notice to File Missing Parts of Application (return copy).

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

**Commissioner for Patents  
United States Patent & Trademark Office  
Washington, DC 20231**

Barbara A. Miuccio  
(Print name of person certifying)

Barbara A. Miuccio  
(Signature of person certifying)



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**DEPOSIT ACCOUNT AUTHORIZATION FORM**

Sir:

In response to the Notice to File Missing Parts of Application dated March 21, 2002, this is authorization to charge the fee of \$130.00 for filing the Declaration and Power of Attorney for the above-subject patent application to Deposit Account No. 09-0468, including any readjustment thereof.

Respectfully submitted,

Marian Underweiser  
Reg. No.: 46,134  
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